


UCare Connect/Connect + Medicare

Care Coordination and Long-Term Services and Supports

Title: Transfer Health Risk Assessment (THRA) Job Aid

Purpose: To aid in the process of determining when and how to complete a member THRA. The rules and regulations will be addressed, in addition to best practice tips.

Summary: The care coordinator (CC) may use a Transfer Health Risk Assessment (THRA), in lieu of a full assessment, when a Health Risk Assessment (HRA)/HRA-MCO was completed and obtained within the last 365 days. The purpose of the THRA is to capture the necessary information and member health risks with minimal disruption to the member. The THRA form is used to guide you through the review of the most current assessment allowing opportunity for the CC to make updates, fill in gap areas and create/modify goals. By completing the THRA, the CC is adopting the assessment and support plan as their own and attesting to the accuracy and regulatory compliance of the assessment. If at any time, a care coordinator feels that a new assessment is necessary or in the best interest of the member, a new assessment may be completed.

	Definitions
	<p>Delegate to Delegate Transfer: Member transfers from one UCare delegate agency or county to another (e.g., Olmsted County to Wadena County).</p>
	<p>MCO to MCO Transfer: Member transfers from one Managed Care Organization (MCO)/health plan to another (e.g., Medica to UCare).</p>

Product Change: Member changes from one UCare product to another (e.g., Connect to Connect + Medicare or vice versa and MSC+ to MSHO or vice versa).

Required Transfer Documents

CC reviews the following documents to complete a THRA in lieu of a new assessment:

- HRA/HRA-MCO completed within the last 365 days (required)
- Support Plan
 - Care coordinator may complete a support plan if not received or at CC discretion due to significant updates
- Member signature sheet
 - If not received, CC may complete or document two attempts to obtain a member signature to meet requirements
- Relevant case notes (optional)
- DHS-6037 (optional)

When transfer documents are received, a THRA can be completed in the scenarios below:

Scenario	OK to complete THRA	Not OK to Complete THRA
Member transfers from one UCare delegate to another UCare delegate (example: Clay County to Becker County)	<input checked="" type="checkbox"/>	
Member has a product change within the same plan type (e.g., Connect to Connect + Medicare)	<input checked="" type="checkbox"/>	
Member transfers from another MCO to UCare	<input checked="" type="checkbox"/>	
Member moves from FFS to UCare		<input checked="" type="checkbox"/>
Member resides in a skilled nursing facility		<input checked="" type="checkbox"/>
Member was previously UTR/Refusal		<input checked="" type="checkbox"/>

Transfer Health Risk Assessment: Revised MnCHOICES HRA-MCO

- CC receives/reviews transfer documents from the previous entity and determines if a THRA is appropriate
- CC completes UCare’s THRA document found on UCare’s website with the member and make updates to the Support Plan-HRA as needed. Attach UCare’s THRA document in MnCHOICES.
 - If the member is UTR or refusal at the time of the THRA, do not complete UCare’s THRA document nor a UTR/Refusal support plan. Document attempts to reach the member to complete the THRA. Review the HRA-MCO and Support Plan independently. Document review in the member’s record. Update the current MnCHOICES Support Plan as needed.
 - Complete MnCHOICES entry
 - Start a new HRA-MCO form and complete “assessment information” section
 - **HRA Type:** Transitional HRA
 - **Transitional HRA Type:** Choose appropriate type
 - **HRA method:** choose appropriate method
 - Complete remaining assessment information elements and completion requirements. Submit assessment until status is “Completed.”
 - Do NOT enter THRA activity in MMIS. MnCHOICES THRA activity replaces MMIS entry.

Transfer Health Risk Assessment: DHS-3428H Health Risk Assessment

- CC receives/reviews transfer documents from previous entity and determines if a THRA is appropriate
- CC completes UCare’s THRA document found on UCare’s website with the member and make updates to the HRA and Support Plan as needed. Attach all transfer documents and THRA in member record.
 - If the member is UTR or refusal at the time of the THRA, do not complete UCare’s THRA document nor a UTR/Refusal support plan. Document attempts to reach the member to complete the THRA. Review the 3428H HRA and Support Plan independently and document review in member’s record. Update the current Support Plan as needed.
- Complete MMIS entry. This includes members unable to reach or refusing to complete the THRA. Add a note in the comments section that member was UTR/refusal.
 - Delegate to Delegate transfer:
 - Activity type 05

- Activity result 98
- All other transfers where the last MCO assessment result is 35.
 - Activity type 07
 - Activity result 51
 - **NOTE:** effective date must match the effective date of the last HRA

Additional Tasks for All THRA Processes

- Add member to the Monthly Activity Log as appropriate (see additional detail in section below)
- Set reminder(s) to obtain signature page (as needed)
 - **NOTE:** Required if not received with transfer documents or after the first attempt made by new CC
- Set reminder to complete re-assessment within 365 days of previous assessment
 - **NOTE:** The THRA does not reset the reassessment timeline schedule

THRA Entry on the Monthly Activity Log (MAL):

Scenario	Document on MAL	Do NOT Document on MAL
THRA is successfully completed.	<input checked="" type="checkbox"/>	
Member has a product change or MCO change and is unable to be reached or refuses THRA. (see example below)	<input checked="" type="checkbox"/>	
Member transfers from delegate to delegate and is unable to be reached or refused THRA.		<input checked="" type="checkbox"/>

Entering data on the MAL when the THRA is completed:

- **Activity Completion Date:** Date THRA was completed
- **HS Code:** HP
- **Type of Activity:** THRA F2F or THRA Telephonic

Entering data on the MAL when the THRA is attempted for a product change/MCO change and member is unable to be reached or refuses the THRA:

- **Activity Completion Date:** Date of the last attempt or date of decline of THRA
- **HS Code:** HP
- **Type of Activity:** THRA Attempted

Additional Resources:

Assessment Checklists
 Monthly Activity Log Job Aid
 Requirements Grids